



**North Tyneside Council**

# Audit Committee

Monday 22 May 2023

**Wednesday, 31 May 2023 0.02 Chamber – Quadrant, The Silverlink North, Cobalt Business Park, North Tyneside, NE27 0BY commencing at 6.00 pm.**

## Agenda Item

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**1. Apologies for Absence**

To receive apologies for absence from the meeting.

**2. Appointment of Substitute Members**

To be notified of the appointment of any Substitute Members for the meeting.

**3. Declarations of Interests and Notification of any Dispensations Granted**

You are invited to declare any registerable and/or non-registerable interests in matters appearing on the agenda, and the nature of that interest.

You are also invited to disclose any dispensations that have been granted to you in relation to any matters appearing on the agenda.

If you need us to do anything differently (reasonable adjustments) to help you access our services, including providing this information in another language or format, please contact [democraticsupport@northtyneside.gov.uk](mailto:democraticsupport@northtyneside.gov.uk).

You are also requested to complete the Declarations of Interest Card available at the meeting and return it to the Democratic Services Officer before the end of the meeting.

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|----|---|----------------|
| 4. | <b>Minutes</b>  | <b>7 – 16</b>  |
|    | To confirm the minutes of the meeting held on 22 March 2023.  |                |
| 5. | <b>Annual Statement of Accounts 2021-22</b>   | <b>17 – 22</b> |
|    | To give consideration to a report which provides an update in relation to the completion of the 2021-22 Audited Annual Statement of Accounts.                   |                |
| 6. | <b>Annual Statement of Accounts 2022-23</b>   | <b>23 – 30</b> |
|    | To give consideration to a report which provides an update on the closure of the 2022-23 Accounts.  |                |
| 7. | <b>Annual Governance Statement Update 2022-23</b>   | <b>31 – 74</b> |
|    | To give consideration to a report which provides the outcome of the review of the Authority's systems of control as set out in the Annual Governance Statement. |                |
| 8. | <b>Internal Audit Quality Assurance and Improvement Programme (QAIP)</b>  | <b>75 – 86</b> |
|    | To give consideration to a revised Quality Assurance and Improvement Programme for the Internal Audit Service   |                |
| 9. | <b>Exclusion Resolution</b>   |                |
|    | The Committee will be requested to pass the following resolution:   |                |
|    | Resolved that under Section 100A of the Local Government Act 1972 (as amended) and having applied a public interest test as                                     |                |

defined in Part 2 of Schedule 12A of the Act the press and public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act.

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|-----|---|--------------|
| 10. | <b>Corporate Risk Management Summary Report</b>   | 87 –<br>176  |
|     | To give consideration to a report which provides an update on the Authority’s management of its key corporate risks.  |              |
| 11. | <b>Counter Fraud Annual Report 2022–23</b>  | 177 –<br>200 |
|     | To give consideration to a report which provides an update on the Counter Fraud work undertaken in the financial year to 31 March 2023 and the savings resulting from the work carried out.                     |              |
| 12. | <b>Key Outcomes from Internal Audit work undertaken between November 2022 and May 2023</b>  | 201 –<br>232 |
|     | To give consideration to a report which provides an update on the key outcomes of Internal Audit’s work undertaken between November 2022 and May 2023.  |              |
| 13. | <b>Strategic Audit Plan 2022–23 – Final Monitoring Statement</b>  | 233 –<br>250 |
|     | To give consideration to a report which sets out the final monitoring statement in respect of the Strategic Audit Plan for 2022–23  |              |
| 14. | <b>2022–23 Opinion on the Framework of Governance, Risk Management and Control</b>  | 251 –<br>280 |
|     | To give consideration to a report which provides the Chief Internal Auditor’s annual opinion on the overall adequacy and effectiveness of the Authority’s framework of governance, risk management and control. |              |

Circulation overleaf ...

### **Members of the Audit Committee**

Malcolm Wilkinson (Chair)

Councillor Liam Bones

Councillor Louise Marshall

Councillor Tricia Neira

Councillor Andrew Spowart

Dr Stuart Green (Deputy Chair)

Councillor Debbie Cox

Councillor Tommy Mulvenna

Councillor John O'Shea